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Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/635,041
				Filing Date	08/04/2003
				First Named Inventor	Mark A. Moraes
				Art Unit	2168
				Examiner Name	Paul Sommerfeld
Sheet	1	of	2	Attorney Docket Number	MORAES-SOSS-1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
PA	H	SIMONETTI, trace.8, 19 Mar 1985, wuarchive.wustl.edu/languages/c/unix-c/kernel/syscall-trace.tar.Z	
PA	I	TICHY, RCS - A System for Version Control, Software - Practice & Experience, 15, 7 Jul 1985	
PA	J	RODRIGUEZ, A System Call Tracer for Unix, Usenix Summer 1986, Atlanta, GA	
PA	K	KIM, The Design and Implementation of Tripwire, Purdue CSD-TR-93-071, 29 Aug 1994	
PA	L	SPINELLIS, Trace: A Tool for Logging OS Call Transactions, Oper.Systems Review 28(4):56-63, Oct 1994	
PA	M	SUN, Solaris truss(1) manual, SunOS 5.8, 15 Jul 1998	
PA	N	KRANENBURG, strace manual, 2003-01-21, strace.sourceforge.net/	
PA	O	GARFINKEL, Traps & Pitfalls: Practical Problems in System Call Interposition,'03 stanford.edu/~talg/	
PA	P	IBM, Process for Real Time Trace-Driven Performance Monitors. SPI.org TDB1091.0030	
PA	Q	IBM, Real-Time Trace Driven Monitor for File System Performance. SPI.org TDB1091.0041	

Examiner Signature	Paul Sommerfeld	Date Considered	4/4/2006
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

2

Application Number

10/635,041

Filing Date

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First Name & Inventor

Mark A. Moraes

Art Unit

2168

Examiner Name

Paul Sommerfeld

Attorney Docket Number

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PA	R	SYSINTERNAL, Filemon, www.sysinternals.com/ntw2k/source/filemon.html	
PA	S	MSDN Change Journal Records, msdn.microsoft.com/library/en-us/fileio/base/change_journal_records.asp	
PA	T	msdn.microsoft.com/library/en-us/fileio/base/obtaining_directory_change_notifications.asp	
PA	U	TAPSTONE, Features & Benefits, Technical Overview, Architecture, www.tapstone.com/feat.html	
PA	V	RELICORE, Clarity Product Features, www.relicore.com/products/features.shtml	
PA	W	CENDURA, Solution, www.cendura.com/solution/index.html	
PA	X	PEDESTAL, Intact Real-time Change Detection, www.pedestalsoftware.com/products/intact/	
PA	Y	DESMOND, Intact 3.5 Automates Building of Change Detection Baseline, eSecurity Planet, 8 Jul 2003	
PA	ZA	CONFIGURESOFT, ECM Features, www.configuresoft.com/product_ecm_features.htm	
PA	ZB	ECORA, Auditor 3.0, www.ecora.com/ecora/products/auditor.asp	

Examiner Signature

Paul Sommerfeld

Date Considered

4/4/2006

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

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